Lessons Learned from the Boeing 737-MAX Crashes

A Case Study for the Aviation Education Community

Danny Marchant and Stefan Popescu

With support from Dr. Najmedin Meshkati, Mr. Daniel Scalese, Zaki Beydoun, Ramya Kunapalli, and Tiffany Tam



Introduction

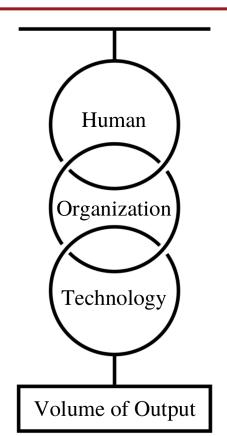
USC University of Southern California

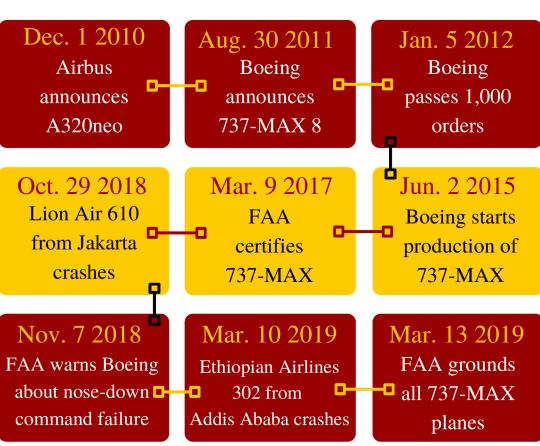
On March 13, 2019, Boeing grounded all 737-MAX aircraft, but it was too late. Boeing had shattered its reputation and a seemingly strong relationship between airlines, airplane manufacturers, and passengers broke in an instant.

The cause, as it turned out, was a little-known piece of code called MCAS. Introduced to fix a center-of-gravity problem, its ramifications were ignored. Less than two years after its certification, it had downed its first plane. However, a faulty system was not the lone culprit in both the Lion Air flight 610 and Ethiopian Airlines flight 302 crashes.

This research examines how a total system failure led to the two Boeing 737-MAX crashes that killed 346 people, which could be used as comprehensive case study in aviation safety education.

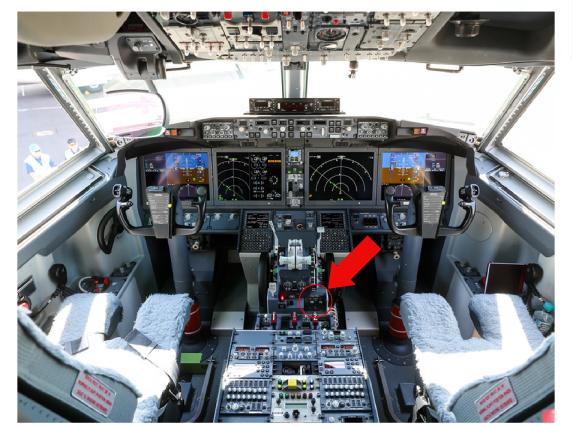
The H.O.T. model is the relationship between human, organizational, and technological subsystems, represented by three chain links. Ideally, the three interact harmoniously to increase the reliability of the system and consistently produce the volume of output. In the Boeing 737-MAX crashes, MCAS was technology implemented in order to not have to train pilots for the plane's poor behavior at high angles of attack. In this case, the human and organi-zational links were weak, while the technological link was strengthened to make up for this deficiency. When the stabilizer sensor failed, the techno-logical link (MCAS) had no strong human nor organizational backups, so the chain broke.





Recommendations

- → Implementation of a strong and resilient culture of safety that leads to effective transparency.
- ➤ End FAA delegation and fully fund regulatory agencies.
- → Prevent direct industry-regulatory transitions to prevent regulatory capture.
- → Airplane manufacturers and airlines must ensure their pilots are fully trained on every aspect of a new airplane.
- The FAA should establish a group dedicated solely to receiving anonymous reports about aviation safety flaws and violations, then investigate every claim.



Impact

346 lives were lost as a result of a system that failed them. Their loss will not be in vain. The industry must learn from what went wrong and improve in ways that will prevent such a catastrophic breakdown of both physical safeguards and communications. The safety of the public must not be jeopardized for the sake of saving money.

Management

Management is ultimately responsible for setting priorities. Maintaining a culture of safety means the focus is on getting it right rather than getting it cheap.

Design & Manufacture

Design must take into consideration actual pilot abilities, and plan for the worst, not the best-case scenario. Manufacturing should not be rushed that issues can be noticed and resolved.



Stakeholders

Under pressure from shareholders to keep pace with Airbus, Boeing cut corners and sacrificed safety for profit. The ultimate stakeholders are the general public, whose protection should be the top priority.

Regulatory Oversight

Agencies like the FAA should be independent, keeping a watchful and impartial eye over industry. However, regulatory capture often occurs due to industrygovernment turnover.

Maintenance

uncommon occurrence, but routine maintenance finds many of the issues. However, airplanes are usually designed so that no one break can lead to catastrophic failure. Boeing failed to do so.

Training

Diagnosing anomalous flight conditions is difficult precisely because they are abnormal. While pilots are trained on many scenarios, the secrecy around MCAS resulted in it not even appearing in the 737-MAX manual.



Global Implications

On Ethiopian Airlines 302, passengers came from 35 different countries. Boeing, an American company, made reckless decisions which cost the lives of people all around the world. Immediately following the crashes, Boeing only worsened the animosity between America and other countries by blaming foreign pilots. Boeing CEO David Calhoun blamed their lack of training, saying "[foreign] pilots don't have anywhere near the experience that they have here in the US".

Ethiopian officials did not cite pilot error as a cause of the crash. In response to the crashes, the FAA commissioned a Joint Authorities Technical Review committee, where civil aviation authorities from ten countries around the world worked together to make recommendations on how to improve the FAA's certification process, operations, and training. The recommendations emphasize a focus on human factors throughout the design and certification processes, and updating out-of-date certification processes.

References

[2] Chicago Tribune Wire Reports, "Timeline: Boeing 737 Max jetliner crashes and aftermath," Chic [3] "MCAS," Boeing, 2021.

[4] T. Hopper, "Here's the terrifying reason Boeing's 737 MAX 8 is grounded across the globe," National Post, March 14. 2019. [5] D. Gates and M. Baker, "The inside story of MCAS: How Boeing's 737 MAX system gained power and lost safeguards [6] P. Cohan, "Did Airbus Rivalry Drive Dangerous Tradeoffs For Boeing's 737 MAX?," Forbes, March 28, 2019.

[7] M. Yglesias, "The emerging 737 Max scandal, explained," Vox, March 29, 2019.
[8] D. Gates, "U.S. House probe of 737 MAX finds 'disturbing pattern' of Boeing failures and 'grossly insufficient' FAA ow

[19] "Regulators Are Investigating Boeing's Safety Culture Amid Complaints By Its Engineers," NPR, August 24, 2021.
[10] A. Ma, "A Boeing whistleblower says he tried to raise concerns about sloppy 737 Max production, but was ignored by the CEO, board, FAA, and NTSB," Business

[12] B. Zhang, "Boeing's CEO explains why the company didn't tell 737 Max pilots about the software system that contributed to 2 fatal crashes," Business Insider, April [45] "Pilot Skills: What Makes a Great Aviator?," AeroGuard Flight Training Center.

[14] J. Nicas, N. Kitroeff, D. Gelles, and J. Glanz, "Fatal flaw in Boeing 737 Max traceable to one key late decision," The Irish Times, June 2, 2019. [15] A. Levin, "Four seconds to respond? Faulty Assumptions Led to 737 Disasters," livemint.com, October 27, 2019.

[16] D. Gates and L. Kamb, "Indonesia's Devastating Final Report Blames Boeing 737 MAX Design, Certification in Lion Air Crash," The Seattle Times, October 24,

[17] "Following Recent Hearing on the Boeing 737 MAX, Chair DeFazio Presses Boeing CEO for Additional Information About Decisions on MCAS, Gro Aircraft, CEO Pay, Boeing's Legal Strategy and More," transportation.house.gov, November 15, 2019. [18] "Runaway Stabilizer Procedure," b737.org.uk, November 14, 2021.

[19] M. Baker and D. Gates, "Boeing altered key switches in 737 MAX cockpit, limiting ability to shut off MCAS," The Seattle Times. May 14. 2019. [20] D. Gates, "Why Boeing's emergency directions may have failed to save 737 MAX," The Seattle Times, April 3, 2019.

[21] Angeto if Atack, Actionality, 2021.

[22] J. Nicas, N. Kitroeff, D. Gelles, and J. Glanz, "Boeing Built Deadly Assumptions Into 737 Max Blind to a Late Design Change," The Seattle Times, June 1, 2019.

[23] T. C. Frankel, "Not just the 737: Angle-of-attack sensors have had problems," heraldnet.com, March 18, 2019.

[24] C. Devine and D. Griffin, "Boeing relied on single sensor for 737 Max that had been flagged 216 times to FAA," CNN, April 30, 2019.

[25] G. Kent, "2 Years after Being Grounded, the Boeing 737 MAX Is Flying Again," CNET, June 19, 2021.

[26] Boeing's Fatal Flaw, directed by Tom Jennings, produced by Vanessa Fica and Kate McCormick. Frontline Technologies Group, 2021. PBS.
[27] "Lion Air Jet's Final Plunge May Have Reached 1,000KMH," The Straits Times, November 3, 2018

[28] I. Duncan, "Democrats introduce bill to tighten FAA oversight after Boeing 737 Max crashes: The legislation seeks to shift power over safety back to federal regulators and away from industry," The Washington Post, February 25, 2020.
[29] I. Duncan, M. Laris, and L. Aratani, "Boeing 737 Max crashes were 'horrific culmination' of errors, investigators say," The Washington Post, September 16, 2020.

[30] S. Dyke and R. Urry, "The impact of the Boeing 737 Max grounding," JD Supra, January 16, 2020

[31] "Boeing will pay \$2.5 billion to settle charge over 737 Max," The Economic Times, January 8, 2021 [32] D. Gates, "FAA finalizes its plan for the return of the Boeing 737 MAX. The Seattle Times," August 3, 2020 [33] D. Gates, "Q&A: What led to Boeing's 737 MAX crisis," The Seattle Times, November 18, 2020.

[34] "General Aviation Safety. Federal Aviation Administration, July 30, 2018. [35] Joint Authorities Technical Review: Boeing 737 Max Flight Control System Observations, Findings and Recommendations, Washington, DC

Federal Aviation Administration, 2019. [36] A. Levin, "Boeing Deception Alleged in Scathing Report on Max Crashes," Bloomberg, September 16, 2020

[37] R. Lowenstein, & P. Robison, "Flying Blind' Review: Downward Trajectory," Wall Street Journal, 28 November 2021.
[38] D. Schaper and B. Booker, "Ethiopian Authorities Blame Boeing Equipment And Training For 737 Max Crash," NPR, March 9, 2020.

[39] S. Sengupta, "The COP26 Climate Talks Are Opening. Here's What to Expect," The New York Times, October 31, 2021 [40] D. Slotnick, "Boeing 737 Max: Ethiopian Airlines Flight 302 Crashed One Year Ago," Business Insider, March 10, 2020

[41] C. Sullenberger, "Re: What Really Brought Down the Boeing 737 MAX?," The New York Times Magazine, October 13, 201

[42] J. Tateno, "10 years after Fukushima: Are Japanese nuclear power plants safe?," Bulletin of the Atomic Scientists, March 10, 2021. [43] "Globe editorial: Questions about Ottawa's delayed response to the Boeing 737 Max crash," The Globe and Mail, March 18, 2019.

[44] Flight Standards Service, "Commercial Pilot - Airplane: Airman Certification Standards," Federal Aviation Administra

[46] "Pilot Training School," Ethiopian Airlines [47] "Lion Air Careers," Epic Flight Company.

[48] K. Bekele, "Crashed ET plane captain alerted ATC about technical problem," The Reporter, March 10, 2019.

[49] A. Pasztor and A. Tangel, "Ethiopian Airlines Pilots Initially Followed Boeing's Required Emergency Steps to Disable 737 MAX System," Wall

[50] "Flight Crew Licencing", European Aviation Safety Agency, June 2016.[51] "Preliminary Aircraft Accident Investigation Report," Komite Nasional Keselamatan Transportasi, October 29, 2018.

 [52] "Aircraft Accident Investigation Preliminary Report," Ethinopian Airlines Group, March 10, 2019
 [53] "Final Aircraft Accident Investigation Report," Komite Nasional Keselamatan Transportasi, October 29, 2018. [54] 737 Quick Operations Handbook, 737 Flight Crew Operations Manual, Boeing, September 2014.

[57] 116th Congress (2019-2020), Congressional Hea

[55] "Summary of the FAA's Review of the Boeing 737 MAX", Federal Aviation Administration, November 18, 2020

Requests for information Scott Stocker, Vice President of Manufacturing and Safety, Boeing No response

Michael Delaney, Chief Aerospace Safety Officer, Boeing No response Gregory Hyslop, Vice President of Engineering, Boeing | Politely declined Boeing Corporate and Commercial Airplanes Communications No response

Air Line Pilots Association No response